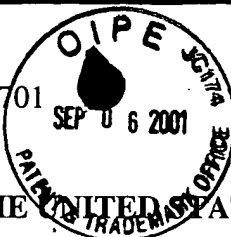


Docket No.: 114205.1701  
Customer No.: 21269



PATENT

*Secretary*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hua YU

Serial No. 09/832,865

Filed: April 12, 2001

Group Art Unit: 1633

Examiner: to be assigned

For: REGULATION OF SYSTEMIC IMMUNE RESPONSES UTILIZING SOLUBLE CD40

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents  
Washington D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated June 6, 2001, submitted herewith are the following documents for filing in the above-referenced application:

- I. A Declaration in compliance with 37 CFR 1.63;
- II. An Assignment in compliance with 37 CFR 3.11;
- III. A Petition for Extension of Time for Response for one (1) month, pursuant to 37 CFR 136(a); and
- IV. Late Filing Fee Surcharge of \$65.

Attached is a check in the amount of \$120.00 to cover the Late Filing Fee Surcharge and Petition for Extension of Time for Response.

Please charge any shortage in fees due in connection with the filing of this paper, including further extension of time fees, to Deposit Account 50-0436 and please credit any excess fees to such deposit account.

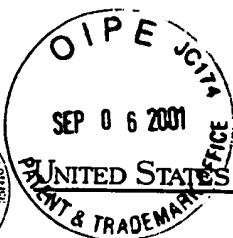
Respectfully submitted,

PEPPER HAMILTON LLP

*Christopher J. Kay*

Christopher J. Kay  
Registration No. 44,820

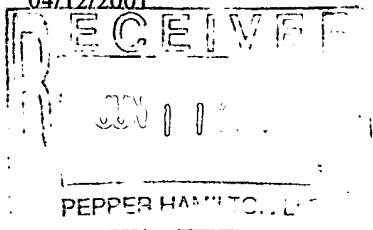
Date: September 6, 2001  
600 Fourteenth Street, N.W.  
Washington, D.C. 20005-2004  
Telephone: 202.220.1200  
Facsimile: 202-220-1665  
DC: #198250 v1 (48YY011.DOC) 114205-1701



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/832,865         | 04/12/2001          | Yu Hua                | 114205-1701            |

PEPPER, HAMILTON LLP  
600 Fourteenth Street, N.W.  
Washington, DC 20005-2004



CONFIRMATION NO. 1377

FORMALITIES LETTER



\*OC000000006154156\*

Date Mailed: 06/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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